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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Polyhydroxyalkanoate Copolymer And Polylactic Acid

pplication No.

10/051,724

Applicant(s)

Isao Noda et al.

Filed

January 17, 2002

U.S. Patent No.

6,808,795 B2

Issued

Title

Oct. 26, 2004

Polymer Compositions For Laminates And Films

TC/A.U.

1711

Examiner

Samuel A. Acquah

Conf. No.

8627

Certificate NOV 2 3 2004

Docket No.

8840

of Correction

Customer No.

27752

# REQUEST FOR CERTIFICATE OF CORRECTION

UNDER 37 C.F.R. 1.322

ATTN: Certificate of Correction Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

It is requested that the attached Certificate of Correction be issued under 37 CFR 1.322 for the above-identified patent. The mistakes are apparently due to Patent Office printing errors.

#### Column 6, line 61

Please delete "theological" and insert therefor -- rheological --. The correct version appears on page 8, the last line of paragraph 29, of the specification as originally filed.

#### Column 14

At line 51, please delete "3-hydroxylhexanoate" and insert therefor -- 3hydroxyhexanoate --. The correct version appears on page 18, third line of paragraph 71, of the specification as originally filed.

At line 67, please delete "polylhydroxyalkanoate" and insert therefor -polyhydroxyalkanoate --. The correct version appears on page 18, second line of
paragraph 72, of the specification as originally filed.

#### Column 18

At line 24, please delete "not" and insert therefor -- with --. The correct version appears in Applicants' amendment filed April 21, 2004, a copy of which is attached hereto.

At line 44, after "or", insert -- from --. The correct version appears in Applicants' amendment filed April 21, 2004, a copy of which is attached hereto.

Correction of these mistakes is believed necessary to avoid ambiguity with respect to the patentees' disclosure and claims.

Respectfully submitted,

THE PROCTER & GAMBLE COMPANY

By Ungela Marie Stone

Angela Marie Stone

Typed or Printed Name Registration No. 41,335

(513) 634-9397

November 15, 2004 Customer No. 27752 (Certcovr.doc) (Last Revised 11/5/2004)

# **Auto-Reply Facsimile Transmission**



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Customer No. 27752

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/051,724

Applicant(s)

Isao Noda et al.

Filed

January 17, 2002

Title

Polyhydroxyalkanoate Copolymer And Polylactic Acid

Polymer Compositions For Laminates And Films

TC/A.U.

Examiner

Samuel A. Acquah

Conf. No.

8627

1711

Docket No.

8840

Customer No.

27752

## AMENDMENT AFTER FINAL OFFICE ACTION UNDER 37 CFR §1.116

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

#### INTRODUCTORY REMARKS

In response to the Office Action of January 21, 2004, please amend the above-identified application as follows, consider the following remarks and reconsider the application.

Please amend the above-identified application as follows:

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 6 of this paper.

#### AMENDMENTS TO THE CLAIMS

(Currently amended) An environmentally degradable composition comprising:
 a PLA polymer or copolymer; and
 a polyhydroxyalkanoate copolymer comprising at least two randomly repeating monomer units

wherein a first monomer unit has structure (I)

$$\begin{bmatrix} R^1 & O \\ I & II \\ -O\text{-CH-(CH}_2)_n\text{-C-} \end{bmatrix}$$
 (I)

where R<sup>1</sup> is H, or C1 or C2 alkyl, and n is 1 or 2, but with the proviso that when R<sup>1</sup> is a C1 alkyl, n is not 2, and where R<sup>1</sup> is a C2 alkyl, n is not 1; and wherein a second monomer unit has structure (II)

$$\begin{bmatrix} R^2 & O \\ | & || \\ -O-CH-CH_2-C- \end{bmatrix}$$
 (II)

where R<sup>2</sup> is a C3-C19 alkyl or C3-C19 alkenyl,

or the second monomer unit has structure (III)

$$\begin{bmatrix}
O \\
\parallel \\
-O-(CH_2)_m-C-
\end{bmatrix}$$
(III)

where m is from 2 to 3 or from 5 to 9 wherein the composition is in the form of a film.

2. (Original) The composition of Claim 1 wherein the polyhydroxyalkanoate copolymer comprises a third randomly repeating monomer having structure (IV):

$$\begin{bmatrix} R^5 & O \\ | & || \\ -O\text{-CH-(CH}_2)_s\text{-C-} \end{bmatrix}$$
 (IV)

where R<sup>5</sup> is H, or C1-C19 alkyl or alkenyl, and s is 1 or 2, with the proviso that the third monomer is not the same as the first or second monomer.

- (Original) The composition of Claim 1 further comprising a second polyhydroxyalkanoate polymer or copolymer.
- 4. (Original) The composition of Claim 1 wherein the polyhydroxyalkanoate copolymer is present in an amount of from 5% to 95% by weight of the film.
- 5. (Original) The composition of Claim 1 wherein the PLA polymer or copolymer is present in an amount of from 5% to 95% by weight of the film.
- 6. (Original) The composition of Claim 1 comprising a PLA polymer and wherein the PLA polymer is crystallizable polylactic acid having a melting temperature of from 160°C to 175°C.
- (Original) A bag comprising the film of Claim 1.
- 8. (Original) A wrap comprising the film of Claim 1.
- (Original) A multilayer laminate film wherein at least one layer comprises the composition of Claim 1.
- 10. (Original) The multilayer laminate film of Claim 9 wherein a second layer consists essentially of a PHA copolymer.
- 11. (Original) The multilayer laminate film of Claim 9 wherein a second layer consists essentially of a PLA polymer or copolymer.

12. (Currently amended) A multilayer laminate film having at least one layer which consists essentially of a PLA polymer or copolymer, and having at least one layer which consists essentially of a polyhydroxyalkanoate copolymer comprising at least two randomly repeating monomer units

wherein a first monomer unit has structure (I)

$$\begin{bmatrix} R^1 & O \\ | & || \\ -O-CH-(CH_2)_n-C- \end{bmatrix}$$
 (I)

where  $R^1$  is H, or C1 or C2 alkyl, and n is 1 or 2, but with the proviso that when  $R^1$  is a C1 alkyl, n is not 2, and where  $R^1$  is a C2 alkyl, n is not 1; and wherein a second monomer unit has structure (II)

$$\begin{bmatrix} R^2 & O \\ | & || \\ -O-CH-CH_2-C- \end{bmatrix}$$
 (II)

where R2 is a C3-C19 alkyl or C3-C19 alkenyl,

or the second monomer unit has structure (III)

$$\begin{bmatrix} O \\ \parallel \\ -O-(CH_2)_m-C- \end{bmatrix}$$
 (III)

where m is from 2 to 3 or from 5 to 9.

- 13. (Original) The environmentally degradable composition of Claim 1 further comprising dispersed particulate filler, the composition in the form of a stretched film having continuous pores that prevent penetration of liquid and that pass moisture vapor.
- 14. (Original) The multilayer laminate of Claim 9 wherein the at least one layer further comprises dispersed particulate filler, the layer having been stretched to produce continuous pores that prevent penetration of liquid and that pass moisture vapor.
- 15. (Currently amended) An environmentally degradable breathable film comprising:
  a polyhydroxyalkanoate copolymer comprising at least two randomly repeating monomer
  units wherein a first monomer unit has structure (I)

$$\begin{bmatrix} R^1 & O \\ | & || \\ -O-CH-(CH_2)_n-C- \end{bmatrix} \qquad (I)$$

where R<sup>1</sup> is H, or C1 or C2 alkyl, and n is 1 or 2, but with the proviso that when R<sup>1</sup> is a

C1 alkyl, n is not 2, and where R<sup>1</sup> is a C2 alkyl, n is not 1; and

wherein a second monomer unit has structure (II)

$$\begin{bmatrix} R^2 & O \\ | & || \\ -O-CH-CH_2-C- \end{bmatrix}$$
 (II)

where R<sup>2</sup> is a C3-C19 alkyl or C3-C19 alkenyl, or the second monomer unit has structure (III)

$$\begin{bmatrix} O \\ \parallel \\ -O-(CH_2)_m-C- \end{bmatrix}$$
 (III)

where m is from 2 to 3 or from 5 to 9.

#### **REMARKS**

Applicants respectfully request reconsideration of the present application.

Claims 1, 12 and 15 have been amended to more particularly define the invention. No additional claims fee is believed to be due. It is believed these changes do not involve any introduction of new matter. Consequently, entry of these changes is believed to be in order and is respectfully requested.

#### **ART REJECTIONS**

Before turning to the Examiner's rejections under 35 U.S.C. §102(b) and §103(a), it may be helpful to briefly review the substance of Applicants' invention as well as the structures of the polyhydroxyalkanoate copolymers (PHAs) disclosed therein.

Applicants' claimed invention is directed to environmentally degradable films comprising a specifically recited polyhydroxyalkanoate copolymer (PHA) and a polylactic acid polymer or copolymer (PLA). Laminates having a first layer comprising the specifically recited PHA copolymer and a second layer comprising a PLA polymer or copolymer are also disclosed. Such compositions, either as blends or different components, generally provide improved material properties in comparison to the deployment of the specifically recited PHA copolymers alone or to PLA polymers or copolymers alone. Properties in which the blended materials or laminates are different and improved are any one of hardness/softness, brittleness/flexibility, tack, i.e., stickiness, toughness, ductility, processability, or opaqueness/transparency, for example. Furthermore, the PHA copolymers of the present invention can be melt processed at much lower temperatures than that of conventional PHAs such as polyhydroxybutyrate (PHB) and polyhydroxybutyrate/valerate (PHBV), and thus are less susceptible to thermal degradation during processing.

The specifically recited PHAs of the present invention are comprised of a first biodegradable polyhydroxyalkanoate <u>heteropolymer</u> comprising <u>at least two</u> randomly repeating monomer units (RRMUs). The first RRMU has the structure (I):

$$\begin{bmatrix} R^1 & O \\ | & || \\ -O-CH-(CH_2)_n-C- \end{bmatrix}$$
 (I)

where, according to presently amended Claims 1, 12 and 15, "R¹ is H, or C1 or C2 alkyl, and n is 1 or 2, but with the proviso that when R¹ is a C1 alkyl, n is not 2, and where R¹ is a C2 alkyl, n is not 1." In other words, the first RRMU may be one of the following: (1) if R¹ is H and n is 1, then the first RRMU is 3-hydroxyproprionate; (2) if R¹ is H and n is 2, then the first RRMU is 4-hydroxybutyrate; (3) if R¹ is C1 and n is 1, then the first RRMU is 3-hydroxybutyrate; and if R¹ is C2 and n is 2, then the first RRMU is 4-hydroxyhexanoate. But according to presently amended Claims 1, 12 and 15, in the first RRMU: (1) R¹ cannot be C1 when n is 2, therefore the first RRMU cannot be 4-hydroxyvalerate; and R¹ cannot be C2 when n is 1, therefore the first RRMU cannot be 3-hydroxyvalerate.

The second RRMU has the structure of at least one monomer selected from the group consisting of the structures (II) and (III). Structure (II) is disclosed as:

$$\begin{bmatrix} R^2 & O \\ | & || \\ -O-CH-CH_2-C- \end{bmatrix}$$
 (II)

where R<sup>2</sup> is a C3-C19 alkyl or C3-C19 alkenyl. Thus the second RRMU with structure (II) cannot be a hydroxyvalerate, because the shortest R<sup>2</sup> alkyl group is C3, in which case structure (II) is 3-hydroxyhexanoate.

Structure (III) is disclosed as:

$$\begin{bmatrix}
O \\
|| \\
-O-(CH_2)_m-C-
\end{bmatrix}$$
(III)

wherein, according to presently amended Claims 1, 12 and 15, m is from 2 to 3 or from 5 to 9. Thus structure (III) varies from the shortest polymer, where m is 2 or 2-hydroxyproprionate, to the longest polymer, where m is 9 or 9-hydroxydecanoate. M is not 4, therefore structure (III) cannot be 5-hydroxyvalerate.

# Rejections Under 35 U.S.C. § 102(b) Over WO 96/08535 and JP 10147653

Claims 1-15 have been rejected under 35 U.S.C. § 102(b) as being anticipated by WO 96/08535. Claims 1, 3-6 and 15 have been rejected under 35 U.S.C. § 102(b) as being anticipated by JP 10147653. Applicants respectfully disagree with these rejections particularly in light of the present amendments to Claims 1, 12 and 15.

The Office Action states that the above identified references anticipate the claims as they stood prior to the current amendments. Specifically, the Office Action states that both WO polyhydroxyalkanoate copolymer 10147653 disclose the JP 96/08535 and polyhydroxybutyratevalerate or PHBV. In general, the valerate monomer unit of PHBV may 3-hydroxyvalerate, 4-hydroxyvalerate and 5exist in several isomeric forms including: hydroxyvalerate. As noted in the Amendments to the Claims section, instant Claims 1, 12 and 15 have been amended to specifically exclude any form of hydroxyvalerate monomer from the environmentally degradable compositions comprised in part by a PHA copolymer comprising at Therefore, the newly amended claims least two randomly repeating monomer units. specifically exclude PHA copolymers which contain PHBV. In light of these amendments, WO 96/08535 and JP 10147653 do not anticipate Claims 1, 12 and 15 and the balance of the claims that ultimately depend therefrom. Consequently, Applicants respectfully request the withdrawal of the § 102(b) rejections.

## Rejections Under 35 U.S.C. § 103(a) Over EPA 0,753,539

Claims 1-5 have been rejected under 35 U.S.C. § 103(a) as being obvious in light of EPA 0,753,539. Applicants respectfully disagree with these rejections particularly in light of the present amendments to Claim 1.

The Office Action states that the claims as they stood prior to the current amendments were obvious in light of the above identified reference for the explanations that were cited with regard to the § 102(b) rejections. As discussed above, instant Claim 1 has been amended to specifically exclude any form of hydroxyvalerate monomer from the environmentally degradable compositions comprised in part by a PHA copolymer comprising at least two randomly repeating monomer units. Therefore, the newly amended Claim 1 specifically excludes PHA copolymers which contain PHBV. In light of these amendments, Claim 1 and Claims 2-5

Appl. No. 10/051,724 Atty. Docket No. 8840 Amdt. dated 04/21/2004

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Customer No. 27752

which depend thereon are not obvious over EPA 0,753,539 since EPA 0,753,539 does not teach or suggest all of the instant claim limitations as per MPEP § 2143.

Based on the foregoing, Applicants respectfully submit that Claims 1-5 are not obvious over EP 0,753,539 and respectfully request that the Examiner's rejection under 35 U.S.C. §103(a) be withdrawn.

#### **CONCLUSION**

In light of the above foregoing remarks, Applicants believe that Claims 1-15 are now in form for allowance. Accordingly, it is respectfully requested that the claims be reconsidered, the rejections under 35 U.S.C. §102(b) and §103(a) be withdrawn, and the claims be allowed. Should the Examiner have any questions or wish to further discuss this matter, it is requested that the undersigned agent be contacted at (513) 634-9076.

Respectfully submitted,

Julie A. McConihay Agent for Applicants Registration No. 55,439

(513) 634-9076

April 21, 2004 Customer No. 27752

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# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

CERTIFICATE OF CORRECTION
PATENT NO. : 6,808,795 B2
DATED : Oct. 26,2004
INVENTOR(S): Noda et al.
It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:
Column 6
Line 61, delete "theological" and insert rheological
Column 14
Line 51, delete "3-hydroxylhexanoate" and insert 3-hydroxyhexanoate
Line 67, delete "polylhydroxyalkanoate" and insert polyhydroxyalkanoate
Column 18
Line 24, delete "not" and insert with
Line 44, after "or", insert from

FORM PTO 1050 (REV. 11/04) P&G Case: 8840

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